

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chen, : Group Art Unit:
et al. : Intellectual Property
: Law Department
Serial No: : International Business
: Machines Corporation
Filed: : 11400 Burnet Road
: Austin, Texas 78758

Title: Dynamic Generation of
XML Schema for Back End
Driven Data Validation

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

PATENT

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "David A. Mims, Jr." The signature is fluid and cursive, with "David" and "A." on the first line, and "Mims, Jr." on the second line.

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In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Serial No. _____

Applicant: Chen, et al.

Filing Date:

Group:

Atty. Docket No. AUS920030588US1

Reference Designation

U. S. PATENT DOCUMENTS

| Examiner | Document | | | | Filing Date | |
|-----------------|-----------------|-------------|----------------|--------------|--------------------|---------------------------|
| Initial | Number | Date | Name | Class | Subclass | if Appropriate |
| _____ | AA 6,569,207 | 05/2003 | Sundaresan | 715 | 513 | |
| _____ | AB 6,556,999 | 04/2002 | Kloos et al. | 707 | 10 | |
| _____ | AC 6,480,865 | 11/2002 | Lee et al. | 707 | 523 | |
| _____ | AD 6,418,446 | 07/2002 | Lection et al. | 707 | 103 | |
| _____ | AE 6,418,448 | 07/2002 | Sarkar | 707 | 104.1 | |
| _____ | AF 6,356,906 | 03/2002 | Lippert et al | 707 | 10 | |
| _____ | AG 6,347,307 | 02/2002 | Sandhu et al. | 705 | 35 | |

FOREIGN PATENT DOCUMENTS

| Examiner | Document | | | | Translation | |
|-----------------|-----------------|-------------|----------------|--------------|--------------------|------------|
| Initial | Number | Date | Country | Class | Subclass | Yes |
| _____ | AB | | | | | No |

OTHER ART (Including Author, Title,

Date, Pertinent Pages, Etc.)

Examiner

Initial

AC

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.